

INVESTMENT FUNDS AND ASSET MANAGEMENT

Strategic legal services specializing in the fund market and asset management

We have a team dedicated to the Asset Management & Fund Formation market, with extensive experience in structuring investment funds in Brazil. We provide services ranging from initial consulting on securities offerings to legal mapping and defining the ideal structure from regulatory and tax perspectives. We also provide legal assistance in the formation and accreditation of asset managers and regulated entities.

Our legal advice to investment funds encompasses day-to-day operations related to all regulatory issues that may arise during the fund(s)' life cycle. As a result, we have established relationships with Asset Managers, Fiduciary Administrators, Custodians, Distributors (DTVM), Independent Agents (AAs), Multi- and Single-Family Offices, Pension Funds (EFPCs), Investment Banks, Multiple-Stakeholder Banks, and Fintechs.

Due to our full-service approach, we excel in the areas of compliance and governance for funds, institutional clients, and pension funds, earning international recognition for this work.

ADMINISTRATIVE PROCEEDINGS

In the world of Investment Funds, we defend the interests of the main institutions participating in the Financial and Capital Markets before the Brazilian Securities and Exchange Commission (CVM), the Brazilian Stock Exchange (B3), and the Brazilian Association of Financial and Capital Market Entities (ANBIMA). In this regard, we represent Fiduciary Administrators and Asset Managers in addressing official documents and monitoring administrative and judicial proceedings.

RECOGNITION

Chambers Global

Chambers Brazil

IFLR1000

Latin Lawyer 250

Leaders League

For more information
about the area, [click here](#)
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MAIN SERVICES

- Structuring and establishing investment funds, with a focus on regulatory and tax matters.
- Accreditation of asset managers and regulated entities with the CVM.
- Legal advice on securities offerings.
- Ongoing regulatory advice throughout the fund's life cycle.
- Assistance in administrative and judicial proceedings before the CVM, B3, and ANBIMA.
- Compliance and governance consulting for institutional and pension funds, with international recognition.

EXPERIENCE

- Brazilian Feeder Funds that invest in ETFs (Exchanged Traded Funds) and offshore investment funds.
- Equity Investment Funds (FIPs), with an emphasis on Corporate Venture Capital, Venture Capital, and Private Equity structures.
- Credit Rights Investment Funds (FIDCs).
- Agribusiness Chain Investment Funds (FIAGROs), with an emphasis on FIDCs, FIIs, and FIPs.
- Real Estate Investment Funds (FIIs).
- Equity/Endowment Funds.
- ESG, sustainable, and impact investment funds.
- Venture Debt investment funds.
- Liquid Funds/555s (multimarket, equity, fixed income, including listed offshore-focused feeder funds, Private Credit, and Infrastructure Funds).
- ETFs (Exchanged Traded Funds).
- Due diligence of investment funds.
- Incorporation, merger, transformation, and liquidation of investment funds.
- Preparation of opinions on regulatory and tax issues involving investment funds.
- Compliance counseling for funds and asset managers.
- Accreditation of asset managers with ANBIMA and CVM.
- Compliance training for funds and asset managers.